JC06 Rec'd PCT/PTO 04 AUG 2005

Approved for use through 08/30/2003. OMB 0651-003 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE are required to respond to a collection of information unless it displays a valid OMB control number. Under the Paperwork Reduction A Application Number 😾 10/524,302 TRANSMITTA July 28, 2003 Filing Date AUG 0 4 2005 **FORM** First Named Inventor Stephan Schwers Art Unit Unassigned (to be used for all correspondence after initial filing) **Examiner Name** Unassigned Attorney Docket Number 1300-0014 Mail Stop | Amendment **ENCLOSURES (Check all that apply)** No fee due Drawing(s) After Allowance Communication to a Technology Center (TC) Fee Transmittal Licensing-related Papers Appeal Communication to Board Fee(s) due of Appeals and Interferences Fee Transmittal Request to Add Omitted Inventor Appeal Communication to TC in Provisional Application Under Check for \$ (Appeal Notice, Brief, Reply Brief) 37 CFR 1.48(d) Charge any underpayment or **Proprietary Information** Power of Attorney, Revocation, credit any overpayment to Status Letter Change of Correspondence Deposit Account No. 18-0580 Other Enclosure(s) (please Address Return postcard identify below): Terminal Disclaimer Amendment/Reply Request for Refund After Final CD, Number of CD(s): Affidavits/declaration(s) Extension of Time Request Remarks: **Express Abandonment Request Information Disclosure** Statement & Form(s) PTO-1449 Copies of cited references (AA-AF) Certified Copy of Priority Document(s) Response to Missing Parts / Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT David A. Sadewasser, Reg. No. 55,587 Telephone (650) 251-7700 Reed Intellectual Property Law Group Individual Name (print/type) adewasser Signature Date August 2, 2005

Name and Care		CE	RTIFICATE (	F TRANSMISSION/MAILING	
				United States Postal Service with sufficient postage alexandria, VA 22313-1450 on the date shown beto	
Name (print/type)	Joe Clar	k /		2	
Signature		1		Date	August 2, 2005

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Stephen SCHWERS et al.

Serial No.: 10/524,302

Group Art Unit: Unassigned

Intl. Filing Date: July 28, 2003

Examiner: Unassigned

Title: GENETIC POLYMORPHISMS SENSITIVELY PREDICTING ADVERSE DRUG

REACTIONS (ADR) AND DRUG EFFICACY

## Information Disclosure Statement Under 37 C.F.R. § 1.97(b)(3)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants respectfully request that the Examiner review and make of record the references identified in this paper. A PTO-1449 form listing the references accompanies this paper. Applicants would appreciate the Examiner's initialing and returning the form to indicate that the references have been reviewed and made of record. The references are as follows:

U.S. PATENT DOCUMENTS						
Document No. Issue Date / Publication Date Patentee / Applicant						
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FOREIGN PATENT DOCUMENTS					
Document No. Publication Date Country					
WO 99/64630	12/16/99	PCT			

## OTHER DOCUMENTS

ASSMANN, et al., Hypertriglyceridemia and elevated lipoprotein (a) are risk factors for major coronary events in middle-aged men, Am. J. Cardiol. 77:1179-1184 (1996).

LAZAROU, Incidence of adverse drug reactions in hospitalized patients: a meta-analysis of prospective studies," J. Am. Med. Assoc. 279:1200-1205 (1998).

LUSIS, Atherosclerosis, Nature 407:233-241 (2000).

ROSS, The pathogenesis of atherosclerosis: a perspective for the 1990s, Nature 362:801-809 (1993).

UCAR, et al., HMG-CoA reductase inhibitors and myotoxicity, Drug Safety 22:441-457 (2000).

U.S. Patent Application Serial No.10/524,302 Atty Dkt No. 1300-0014

This Information Disclosure Statement is not intended as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any of the above references constitutes prior art to the present application within the meaning of 35 USC § 102.

As applicants have not yet received a first Office Action on the merits, pursuant to 37 C.F.R. § 1.97(b)(3), no fee is required for filing this Information Disclosure Statement; however, if the Office deems that a fee is necessary to process this paper, the Commissioner is authorized to charge such fee to Deposit Account No. 18-0580.

Respectfully submitted,

By:

David A. Sadewasser Registration No. 55,587

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F:/Document/9950/0002/10/Supp IDS

Substitute	for form	1449A/PTC
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Sheet	1	of	]

Complete if Known				
Application Number 10/524,302				
Intl. Filing Date	July 28, 2003			
First Named Inventor	Stephen SCHWERS, et al.			
Art Unit	Not Yet Assigned			
Examiner Name	Not Yet Assigned			
Attorney Docket Number	1300-0014			

			U.S. PATENT	DOCUMENTS			
Examiner Initials*	Cite No.	Document No.	Issue Date or Publication Date	Name of Patentee or Applicant of Cited Document	Class	Subclass	Filing Date if Appropriate
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	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.	Foreign Patent Document No.	Publication Date	Country	Class	Subclass	Т	
	AA	. WO 99/64630	12/16/99	PCT				

		OTHER DOCUMENTS — NONPATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), Title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т
	AB	ASSMANN, et al., Hypertriglyceridemia and elevated lipoprotein (a) are risk factors for major coronary events in middle-aged men, Am. J. Cardiol. 77:1179-1184 (1996).	
	AC	LAZAROU, Incidence of adverse drug reactions in hospitalized patients: a meta-analysis of prospective studies," J. Am. Med. Assoc. 279:1200-1205 (1998).	
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	AE	ROSS, The pathogenesis of atherosclerosis: a perspective for the 1990s, Nature 362:801-809 (1993).	
	AF	UCAR, et al. HMG-CoA reductase inhibitors and myotoxicity, Drug Safety 22:441-457 (2000).	

Examiner	Date
Signature	Considered